# Critical look at Estonian E-voting protocol

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## Outline

- Personal perspective (highly opinionated)
  - Cultural background
  - Birth pains of Estonian e-voting from our view
- Description of e-voting protocol
- Critique
- Correspondence to constitution?
- Estonian e-voting experience up to now

## Estonian e-voting: nutshell

- Estonian culture:
  - Highly dynamic, newly democratic, do not trust authorities (incl academia)
  - No legacy systems. Can build instead of renovating
- E-voting in Estonia reflects that:
  - It functions, people welcome it as a sign of 21<sup>st</sup> century
  - Academic criticisms (security, ...) are ignored
  - Democracy is new: criticism based on importance of proper voting in democratic societies --- ignored
  - If broken, it will be replaced on the go

- PhD 1999
- 2000-2010 spent 7 years aboard ("foreigner")
  - 2000-2005 Finland (Helsinki UT, 2001+, professor)
  - 2006-2008 UK (University College London)
- Currently Tallinn University (professor) + Cybernetica AS (senior researcher)
- Note: Cybernetica AS produces Estonian e-voting software, but no protocols. Opinions are strictly my/our own

- · 1999:
  - Invited Berry Schoenmakers to lecture in Estonia
  - Got interested in e-voting as a research topic
  - Estonia was preparing for digital signature law, id card, ... passively pushed e-voting?
- ~2000: Started to supervise a talented Estonian student, Oleg Mürk
- Late 2000/early 2001
  - Contacted by Estonian authorities, to investigate possibility of nationwide Internet voting in Estonia

- 2001 May:
  - Submitted a joint report (with Oleg Mürk, in Estonian, 37 pages) about existing e-voting protocols to Estonian government
  - Recommendation: start preparing for e-voting, but a lot of research is needed
- Then: silence. Whispers in the dark:
  - Our report was interpreted like we were anti e-voting
  - Decision not to involve people from academia anymore

E-valimiste realiseerimisvõimaluste analüüs



- 2003 Spring:
  - Panel on e-voting in Tallinn, with some ministers, etc
- 2003 Summer:
  - Kickoff meeting of Estonian e-voting interest group: members of electoral committee, security heads of local banks, ...
    - I was the only researcher
  - I gave an overview about research on e-voting
    - Homomorphic schemes, mixnets, ...
  - People were confused
    - Guy from electoral committee: what do you mean by "you don't trust us"?



- The same meeting, 2003 Summer:
  - Tarvi Martens gave a presentation about the "double envelope" scheme
  - Essentially the same scheme is used also now
- 2004: e-voting seminar in Tartu, Estonia
  - Participants: Berry Schoenmakers, Jens Groth, people from Estonian interest group
  - Then-leader of working group: We mainly do it for hype



2003, First Nokia Phone with Camera

- (2009: involved in Norway)
- (2009: invited talk at VOTEID 2009)
- Next try, 2010:
  - We tried to explain the Norwegian solution
  - This was answered by blank stares
- VOTEID 2011 in Estonia
- OTOH, when I am abroad, people ask me why Estonia uses such protocols



- Active in professional software development since 1999
- Programmer, architect, project leader
- More concerned about making things work than breaking them
- Not scientist

- E-voting software development project started in 2004
  - Implement double-envelope scheme
  - Support for various hardware/OS/browser combinations
  - Support various types of simultaneous elections (local governement, parliament, referendums)
- 2005 successful pilot
- Since then various facelifts to the working system, the concept stays same
- 2009 Norwegian project

#### Estonia: Prerequisites

- Access to Internet
  - Public access-points, people used to e-banking
  - Most pubs/restaurants have free wifi
- Legally accepted digital signatures
  - Digital Signature Act since 2000
- Infrastructure for digital signatures
  - Nationwide PKI since 2002
  - ID-card: RSA capable chipcard
  - Used for authentication and digital signatures

#### **Estonian E-voting protocol**



## Who can attack?

#### • Computer user

- Wrong user
- Coercion/vote buying
- Voter PC
  - Any kind of malware
- Big Bad Internet
- Voting Servers
- Journalists

#### "Bad" Voter

- Voter authenticates themselves by using Estonian ID-card
  - Do we trust ID-card (out of scope)?
  - Do we trust drivers?
- Vote coercion/buying
  - Alleviated by revoting (possibly pvoting)
  - If this does not help: "you have bigger problems than e-voting security"



#### "Bad" Voter PC

- Malware, Trojans, viruses, ...
- No privacy against malicious PC
- Non-verifiable against mal. PC
- Trojan can also sign for you
- "You have bigger problems than e-voting security"



#### "Bad" Internet

- Votes are encrypted and signed
- No obvious attacks, except
  DDOS
- Only one central voting server!



# "Bad" Voting Servers

- 3 servers: Vote Forwarding Server, Storing Server, Counting Server
- There is some non-public auditing
- Forwarding Server:
  - Online server, receives
- Storing Server:
  - Behind firewall, receives signatures
- Counting Server:
  - Completely offline, receives physically secured



votes

votes on CD,



# "Bad" Forwarding Server

Online server, receives 
 votes



- Can't forge or read (alone)
- Can selectively drop votes



- Can collaborate with coercer/vote buyer
- Possible DDOS, ... attacks
- No verifiability

# "Bad" Storing Server

Intranet server, receives 
 votes



- Can't forge or read (alone)
- Can selectively drop votes
- Can collaborate with coercer/vote

buyer

No verifiability

# "Bad" Counting Server

• Offline server, receives 🔁 votes

- Can tally incorrectly
- Or just (selectively) stop functioning
- No verifiability



# "Bad" Process

- Security is mostly "guaranteed" by organizational means
- Watchdogs against DDOS
- Auditing traffic between servers
- SS->CS by secure physical means
- Who guards the guardians?
- Need to trust people and processes blindly
- Electoral Committee: "Why not?"



## "Bad" Journalists: PR attacks

- Successful PR attack against e-voting may reduce trust
  => back to p-voting
- My/Norwegian/... solution:
  - Involve local academics in the process, have international reviews, ...
- Estonian solution:
  - Make process so simple that John Doe can understand how it works => in the case of attacks John Doe blames himself for not being clever enough
  - Obviously John Doe does not understand cryptography
  - Estonians don't trust academia/...

#### Constitution

- §1 Estonia is independent and sovereign democratic republic. The supreme power is vested in the people.
- §56 People exercise their power through citizens' right to vote.
- §156 Local governments are elected in <u>free</u> elections for three years. Elections shall be <u>general</u>, <u>uniform</u> and <u>direct</u>. The ballot is <u>secret</u>.

# **Requirements by Constitution**

- Elections are free
  - You decide how to vote
- Elections are general
  - All citizens have right to vote
- Elections are uniform
  - All votes are equal
- Elections are direct
  - The vote is given to a concrete candidate
- The ballot is secret
  - No-one has to know whether and how you voted



#### Estonian E-voting: Story

- 2005, Local government, 9 317 (0.9%)
- 2007, Parliament, **30 275 (3,4%)**
- 2009, European Parliament, **58 669 (6,5%)**
- 2009, Local government, **104 413 (9,5%)** 
  - 44% of advance voters were also e-voters
  - E-votes were sent out of 82 countries

# What if?

- Europarlament elections 2009: 58669 evotes
- Difference between #1/#2: 1046 votes (1 mandate), both got about 103000 votes <sub>Votes</sub>



# Norwegian Experience (2009)

- Different attitude from government: security is paramount
- Big question: achieving security when voter PCs are corrupted
- We proposed a new setting and a new protocol
  - "Code-verification voting"
  - Published at ESORICS 2010
- Norway uses another protocol, but the same setting
- · I am continuing research, improvements on both protocols
- Well-organized process, main criticism: research and implementation should have been carried out separetedly
  - Same company was supposed to do crypto and p-voting

#### Questions?

